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PTO/SB/17 (01-06)

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/689402
Filing Date	20 October 2003
First Named Inventor	Peng Zhang, et al.
Examiner Name	Bibi Sharidan Carrillo
Art Unit	1746
Attorney Docket No.	06412 USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 01-0493 Deposit Account Name: Air Products and Chemicals, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
- 20 or HP =	x	50	=	0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	
- 3 or HP =	x	200	=	0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)	
- 100 =	/ 50 =	(round up to a whole number) x	250	=	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):	Submission of Information Disclosure Statement	Fees Paid (\$)
		180.00

SUBMITTED BY

Signature	<i>Joseph D. Rossi</i>	Registration No. (Attorney/Agent)	47,038	Telephone	(610) 481-8169
Name (Print/Type)	Joseph D. Rossi	Date	13 October 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/689402 Confirmation No.: 2570
Applicant : Peng Zhang, et al.
Filed : 20 October 2003
For : PROCESS SOLUTIONS CONTAINING SURFACTANTS USED
AS POST-CHEMICAL MECHANICAL PLANARIZATION
TREATMENT

Art Unit : 1746
Examiner : Bibi Sharidan Carrillo

Docket No. : 06412 USA
Customer No. : 23543

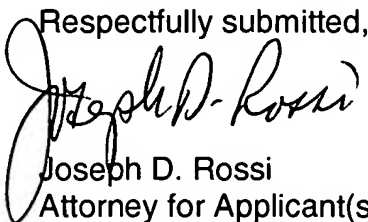
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(d)**

The Information Disclosure Statement transmitted herewith is being filed *after* the period specified in paragraph 1.97(c), but on or before payment of the issue fee.

In accordance with the requirements of 37 C.F.R. 1.97(d), accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e) and the fee set forth in § 1.17(p).

Respectfully submitted,

Joseph D. Rossi
Attorney for Applicant(s)
Registration No. 47,038
7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/689402 Confirmation No.: 2570
Applicant : Peng Zhang, et al.
Filed : 20 October 2003
For : PROCESS SOLUTIONS CONTAINING SURFACTANTS
USED AS POST-CHEMICAL MECHANICAL
PLANARIZATION TREATMENT

Art Unit : 1746 10/17/2006 BABRAHA1 00000009 010493 10689402
Examiner : Bibi Sharidan Carrillo 01 FC:1806 180.00 DA

Docket No. : 06412 USA
Customer No. : 23543

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ON	13 October 2006
	Date
	Rita Linden
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	<i>Rita Linden</i>
	Signature of person mailing paper

**STATEMENT FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(e)**

This statement is being made for the Information Disclosure statement

☒ accompanying this statement.

or

☐ filed on

I, the person(s) signing below, state:

☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

or

☒ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this statement is the attorney/agent who signs below on the basis of the information:

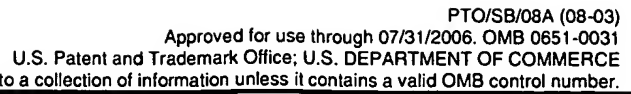
- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,



Joseph D. Rossi
Attorney for Applicant(s)
Registration No. 47,038

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169



Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/689402
Filing Date	20 October 2003
First Named Inventor	Peng Zhang, et al.
Art Unit	1746
Examiner Name	Bibi Sharidan Carrillo
Attorney Docket Number	06412 USA

Sheet **1** of **1**

[illegible]

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)				
		EP 1115035 A1	07/11/2001	Europe		
		EP 1389746 A2	02/18/2004	Europe		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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